

**FEDERAL LABORATORY CONSORTIUM**

**NORTHEAST REGION**

**AWARDS PACKAGE**

**2010**

## **FLC NORTHEAST REGION AWARDS SUMMARY**

### **REGIONAL COORDINATOR'S EXCELLENCE AWARD – *(Winner to be submitted for FLC national Laboratory Representative of the Year Award)***

- Nomination submission deadline — July 9, 2010
- Submit to — FLC Northeast Region Support Office
- Award presentation — FLC Northeast Region fall meeting, September 2010
- National recognition — FLC National Laboratory Representative of the Year Award presented at the 2011 FLC national meeting

### **REGIONAL LABORATORY AWARD – *(regional award only)***

- Nomination submission deadline — July 9, 2010
- Submit to — FLC Northeast Region Support Office
- Award presentation — FLC Northeast Region fall meeting, September 2010
- National recognition — Not applicable, regional award only

### **REGIONAL APPRECIATION AWARD – *Special Service Award – (Winner submitted for FLC national Outstanding Service Award)***

- Nomination submission deadline — July 9, 2010
- Submit to — FLC Northeast Region Support Office
- Award presentation — FLC Northeast Region fall meeting, September 2010
- National recognition — FLC national Outstanding Service Award presented at the 2011 FLC national meeting

### **INDUSTRY/NON-FEDERAL GOVERNMENT/UNIVERSITY AWARD – *(regional award only)***

- Nomination submission deadline — July 9, 2010
- Submit to — FLC Northeast Region Support Office
- Award presentation — FLC Northeast Region fall meeting, September 2010
- National recognition — Not applicable, regional award only

### **EXCELLENCE IN TECHNOLOGY TRANSFER AWARD – *(Winners to be submitted for FLC national Award for Excellence in Technology Transfer)***

- Nomination submission deadline — July 9, 2010
- Submit to — FLC Northeast Region Support Office
- Award presentation — FLC Northeast Region fall meeting, September 2010
- National recognition — FLC National Award for Excellence in Technology Transfer presented at the 2011 FLC national meeting

**REGIONAL COORDINATOR'S EXCELLENCE AWARD**  
*(FLC National Laboratory Representative of the Year Award)*

This regional award will be presented to the FLC Laboratory Representative or Alternate in recognition of the individual's significant contribution to the FLC program during the past year. The individual selected for this award will be the Region's nominee for the national FLC's Representative of the Year Award.

**Award Criteria:**

- The nominee must be a designated FLC Representative or Alternate.
- The nominee must have made significant accomplishments in one or more of the following areas:
  - Innovative developments in technology transfer that are applicable to other member laboratories
  - Significant contributions for outreach to a new FLC user group
  - Outstanding regional leadership
  - Other appropriate activities.
- The significant contribution was made during the year immediately preceding the nomination.
- The nominee has not received the award within the past three years.

**Who Can Nominate:** Nominations for the award can be submitted by any FLC Laboratory Representative or Alternate (including self-nomination) in the Northeast Region, or any person from industry or academia based in the Northeast Region who is familiar with the FLC and its mission.

**Due Date:**

The nominations must be submitted to the FLC Northeast Region Support Office by **July 9, 2010.**

## **REGIONAL LABORATORY AWARD** *(Regional Award Only)*

This award will be granted to a federal laboratory in the FLC Northeast Region in recognition of extraordinary efforts, which exceed legislated requirements, in the furtherance of national and regional technology transfer activities.

### **Award Criteria:**

- Laboratory management must have made efforts and/or achieved accomplishments, internal to the laboratory, that were beyond the legislated requirements for technology transfer.
- As a result of laboratory management involvement, the technology transfer achievements external to the laboratory were extraordinary in nature.

**Who Can Nominate:** Nominations for this award may be submitted by the FLC Laboratory Representative or Alternate in the Northeast Region, other laboratory personnel, FLC officers, contractor personnel, private companies, state and local governments, college and university faculty, or individuals with no particular affiliation but who are knowledgeable of the federal requirements for technology transfer. Nominations may be submitted from outside the Northeast Region. Nominations are to be submitted to the Northeast Region Awards Chair through the Regional Support Office. The efforts and accomplishments must have taken place in the calendar year preceding the nomination.

### **Due Date:**

The nominations must be submitted to the FLC Northeast Region Support Office by **July 9, 2010**.

**REGIONAL APPRECIATION AWARD - *Special Service Award***  
**(*FLC National Outstanding Service Award*)**

This regional award can be given to any individual, not a direct employee of a government owned and operated (GOGO) or a government owned contractor operated (GOCO) organization, who has made a significant contribution to the federal technology transfer program. The act, effort, or support must have been for the primary purpose of promoting and/or extending technology transfer from the federal laboratory system to non-federal entities. The individual selected for this award will be the Region's nominee for the national FLC's Outstanding Service Award.

**Award Criteria:**

- The nominee must have demonstrated exceptional or unique efforts or processes to promote technology transfer.
- The primary beneficiaries of the nominee's actions (in terms of improving technology transfer effectiveness) must be (have been) federal laboratories, non-federal entities receiving federal technologies, or a combination of the two.
- The federal technology transfer program must be impacted in a discernable manner by the actions of the nominee.

**Who can nominate:** Nominations for this award can be submitted only by a designated federal Laboratory Representative or Alternate in the Northeast Region. The nominee cannot be a direct employee of a GOCO or GOGO laboratory. All nominations must be submitted to the FLC Northeast Region Awards Chair.

**Due Date:**

The nominations must be submitted to the FLC Northeast Region Support Office by **July 9, 2010.**

**INDUSTRY/NON-FEDERAL GOVERNMENT/UNIVERSITY AWARD**  
*(Regional Award Only)*

This regional award will be presented to an American-owned company, a non-federal government entity, or university within the FLC Northeast Region that has made outstanding efforts to promote either the actual transfer of federal technology transfer or the federal technology transfer program during the 12 months preceding the nomination.

**Award Criteria:**

This award can be given annually to a nominee who has demonstrated exceptional initiative in the promotion of or actual transfer of federal technology. The nomination package will be limited to two (2) typewritten pages and should address the factors outlined under EVALUATION FACTORS (Figure 1) for the award. Interaction with the applicable federal laboratories should be clearly pointed out. (**Note:** The transfer of federal technology is desirable for this award, but not mandatory. The reference to a university applies to any academic institution that is involved in technology transfer.)

**Who Can Nominate:** Anyone knowledgeable of the technology transfer accomplishments of the organization to be nominated can make the nomination with prior approval of applicable authority within that organization. All nominations must be submitted to the FLC Northeast Region Awards Chair.

**Due Date:**

The nominations must be submitted to the FLC Northeast Region Support Office by **July 9, 2010**.

**EXCELLENCE IN TECHNOLOGY TRANSFER AWARD**  
*(National FLC's Award for Excellence in Technology Transfer)*

Presented to laboratory employee(s) who have accomplished outstanding work in the process of transferring federally developed technology to the marketplace.

**Award Criteria:**

Nominee(s) must be employee(s) of FLC Northeast Region member laboratories. Non-laboratory staff may be included **ONLY** when at least 50% of the transfer effort was funded by a federal laboratory **OR** at least 50% of the staffing for the transfer effort was by in-house laboratory personnel. A nominee cannot be nominated more than three times in any year as an individual or as part of a group. The nominated achievement must have taken place within the last five years. The nominated team or individual must not have received an Excellence in Technology Transfer Award within the last year.

**Who Can Nominate:** Only FLC Northeast Region Laboratory Representatives can submit a nomination.

**Due Date:**

The nominations must be submitted to the FLC Northeast Region Support Office by **July 9, 2010**.

## 2010 FLC NORTHEAST REGIONAL AWARDS

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### NOMINATION COVER SHEET

- This nomination is for the:
- Regional Coordinator's Excellence Award
  - Regional Laboratory Award
  - Regional Appreciation Award
  - Industry/Non-Federal Government/University Award

#### NOMINEE INFORMATION

**Name:**

**Title:**

**Organization:**

**Address:**

**City:**

**State:**

**Zip:**

**Phone:**

**Fax:**

**E-mail address:**

#### NOMINATOR INFORMATION

**Name:**

**Title:**

**Organization:**

**Address:**

**City:**

**State:**

**Zip:**

**Phone:**

**Fax:**

**E-mail address:**

#### JUSTIFICATION FOR AWARD

Describe the nominee's service and/or contribution to the FLC and why you think they should receive the award for which you are nominating them. Criteria for each award are listed on [Appendix 1 \(Award Criteria\)](#). Your justification can be up to a maximum of five typed pages. Font size should not be smaller than 10 point. This cover sheet should be completed and attached to your typed justification. Do not attach supplemental documentation to your nomination.

**Send an electronic copy of the nomination to [mchambers@utrs.com](mailto:mchambers@utrs.com) by July 9, 2010. Nominations received after the due date will not be considered.**

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## APPENDIX 1—AWARD CRITERIA

### **REGIONAL COORDINATOR'S EXCELLENCE AWARD** *(FLC National Laboratory Representative of the Year Award)*

The maximum number of points will be 100. Total points will be the sum of fractional points given in each category.

- Accomplishments (50 points maximum)
- Significance of the accomplishments to the FLC regional and national programs. (50 points maximum)

### **REGIONAL LABORATORY AWARD** *(Regional Award Only)*

The maximum number of points will be 100. Total points will be the sum of fractional points given in each category.

- Describe management's efforts and accomplishments internal to the laboratory that exceed the basic legislated requirements for technology transfer. (50 points maximum)
- Describe management's involvement, efforts, and accomplishments external to the laboratory that were unique and unusual in nature. (50 points maximum)

### **REGIONAL APPRECIATION AWARD - *Special Service Award*** *(FLC National Outstanding Service Award)*

The maximum number of points will be 100. Total points will be the sum of fractional points given in each category.

- Demonstrated exceptional or unique efforts or processes. (30 points maximum)
- Specific benefits to organizations as a result of the nominee's actions. (40 points maximum)
- Impact on the federal laboratory technology transfer program. (30 points maximum)

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## APPENDIX 1—AWARD CRITERIA (Cont.)

### REGIONAL INDUSTRY/NON-FEDERAL GOVERNMENT/UNIVERSITY AWARD (*Regional Award Only*)

The highest score possible for this award is 100 points. Criteria A and B are assigned up to a total of 35 points each, and Criteria C is 30 points. Scoring will be done by evaluating descriptions provided in the subcategories as listed below. Not all categories may apply to every nomination.

**A. DEMONSTRATION OF EXCEPTIONAL CREATIVITY AND INITIATIVE (35 points)**

- Technology - Actually transferred to recipient
- Processes Developed - To achieve transfer
- Constraints - Making transfer difficult
- Patents - Involving this technology
- Publications - Involving this technology
- Pending & Final Agreements - Involving this technology
- Involvement in promoting federal technology transfer.

**B. DEGREE AND SIGNIFICANCE OF BENEFITS (35 points)**

- Benefits to a single industry, industry as a whole, or state and local government are/will be significant, i.e., creation of a new industry, development of a new product, a better method, higher profit margin, cost savings, or edge on the competition.

**C. ACTIVE TECHNOLOGY TRANSFER EFFORT (30 points)**

Nominee was involved during each step. Efforts should be an unusual level of commitment and initiative to accomplish actual transfer.

- Technology or technical expertise - Involvement in its development or availability
- Funding arrangements - Participation and contact with sources of capital
- Marketing efforts - Persuasion, analysis, planning, and projections
- Final results - A commercial product, CRADA, spinoff company, license, etc.
- Followup - In commercialization or problem solving.

# **2010 FLC NE REGION EXCELLENCE IN TECHNOLOGY TRANSFER AWARD**

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## **AWARD CRITERIA**

**INSTRUCTIONS:** The highest score possible is 100 points. Section A can be worth up to 40 points, and Section B is worth up to 60 points. The TOTAL point score will be used for ranking. Scoring will be done by evaluating the submitted Submission Statements against the criteria listed below. Submission Statements should follow the instructions listed below and on the Submission Guidelines form. When writing your submission statement, be as specific and concise as possible and address each of the topics listed under Sections A and B.

### **Section A—Background** (*40 out of 100*)

1. **Description of Technology (5 points).** What technology was transferred? Briefly describe the features of the technology, benefits, limitations.
2. **Tech Transfer Recipient and Technology Need (5 points).** Who or what was the recipient of the transferred technology? Why was the technology needed? What problem was solved by the technology/technical advance?
3. **Technology or Technical Expertise (5 points).** What was the nominee(s) involvement in the technology's development or availability (e.g., conceptualizing the technology, providing facilities and personnel to prove the technology, licensing the technology)?
4. **Initiation of Technology Transfer Partnership (10 points).** Was the partnership for the technology/technical advance initiated by the laboratory, the company, or other entity? Why was a federal lab the best place to achieve this? What were the **specific** roles, goals, objectives, and expectations of each partner? How well were they met?
5. **Technology Transfer Processes Used/Innovations (5 points).** What technology transfer processes (e.g., CRADAs, patents, licensing) were used to transfer the technology? To what extent did the federal laboratory fund the effort? Describe any innovations or creativity demonstrated by the nominee(s) in transferring the technology.
6. **Time Frame Challenges (7 points).** What was the time frame for the transfer? As succinctly as possible, provide a short outline of the events that led to technology transfer. What were the major challenges/constraints in transferring the technology on time? How were these challenges overcome?
7. **Patents and Publications (3 points).** Were any pending patents or patents involved in this technology transfer? If applicable, cite patent (application) numbers/titles. Were there any publications involving this technology? If applicable, cite relevant references.

### **Section B—Results** (*60 out of 100*)

1. **Recipients/Technology Users (5 points).** Who are the consumers who will benefit from the technology transfer effort? How will they benefit from the transfer? What is the approximate size of the group(s)?
2. **New Relationships (10 points).** Describe any new relationships that opened up new interactions with the public or private sector from the transfer of the technology.
3. **Followup activities (5 points).** Describe any additional activities or applications that might be developed to meet mission requirements. (This might include continuing problem-solving efforts or further assistance with commercializing the technology or helping the community/public sector.)
4. **Outcome of Technology Transfer Effort (40 points).** What was the final result of the tech transfer effort—a commercial product, CRADA, positive impact in community/public sector, spinoff company, license? What agreements are pending and/or final regarding this technology? What are the tangible benefits of the transfer effort? What is the significance of these benefits—both present and future? Wherever possible, provide quantitative data. Concentrate on: creation of a new industry/development of new product, a better method for doing something, impact on the community/public sector, higher profit margins, cost savings, competitive edge, improved health/safety, environmental benefits, etc.

**2010 FLC NE REGION EXCELLENCE IN TECHNOLOGY TRANSFER AWARD  
SUBMISSION COVER SHEET (Appendix 1)**

**OFFICIAL NAME OF LABORATORY (maximum of two lines):**

**If you are submitting more than one nomination from this laboratory for consideration, please indicate how many other nominations are being submitted: \_\_\_\_\_**

**DESCRIPTIVE TITLE OF NOMINATED TECHNOLOGY TRANSFER (10 words or less):**

**DESCRIPTIVE PARAGRAPH:**

In the box below, write a **BRIEF** summary of the nomination that describes: the transferred technology, the technology transfer process used, and the benefits of the transfer effort. If this nomination is chosen as a winner, this paragraph will be used in the official awards publication. **Please write this paragraph in nontechnical terms for a nonscientific audience.** If your facility/laboratory has a Public Affairs Office, we suggest asking this office to draft this paragraph in order to ensure compatibility with other publications pertaining to this transfer and technology.

**FOR OFFICE USE ONLY**

Received:

Submission Number:

## **NOMINEE INFORMATION**

**Instructions:** Please list the names (including Mr., Ms., Miss, Mrs., Dr.) and job titles of all nominees in the space below. Designate one nominee as the primary contact and provide address information for this person. If the address is a PO Box, also include the street address of the laboratory/facility. **If the project leader is NOT the primary contact, please provide their information below the primary contact section and designate them as the project leader.** If any nominee(s) has a different address than the primary contact, provide this address information as well. Also provide contact information for the four individuals listed at the bottom of the form.

**Send an electronic copy of the nomination to [mchambers@utrs.com](mailto:mchambers@utrs.com) by July 9, 2010. Nominations received after the due date will not be considered.**

**Total number of nominees (persons) for this technology transfer:** \_\_\_\_\_

**Primary Contact Name:**

**Title:**

**Organization:**

**Address:**

**City:**

**State:**

**Zip:**

**Phone:**

**Fax:**

**E-mail:**

	<b>FLC Representative Making Nomination</b>	<b>Nominee(s) Supervisor</b>	<b>ORTA Representative/ Technology Transfer Program Manager</b>	<b>Laboratory Director</b>
<b>Name</b>				
<b>Address</b>				
<b>City</b>				
<b>State/Zip</b>				
<b>Phone</b>				
<b>Fax</b>				
<b>E-mail</b>				

**2010 FLC NE REGION EXCELLENCE IN TECHNOLOGY TRANSFER AWARD**  
**SUBMISSION STATEMENT (Appendix 2)**

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**Laboratory Name:**

**Descriptive Title of Nominated Technology Transfer:**

**SECTION A.**

*Address each of the following items on pages 1, 2 and 3. See the award criteria for details on each item. If a criterion is not applicable, indicate why not and evaluators will make adjustments accordingly. **Criteria that are not addressed will not receive points.***

1. **Description of Technology (5 points)**
2. **Technology Transfer Recipient and Need for Technology (5 points)**
3. **Technology or Technical Expertise (5 points)**
4. **Initiation of Technology Transfer Partnership (10 points)**
5. **Technology Transfer Processes Used/Innovations (5 points)**
6. **Time Frame Challenges (7 points)**
7. **Patents and Publications (3 points)**





**SECTION B.**

*Address each of the following items on pages 4 and 5. See the award criteria for details on each item. If a criterion is not applicable, indicate why not and evaluators will make adjustments accordingly. **Criteria that are not addressed will not receive points.***

1. **Recipients/Technology Users (5 points)**
2. **New Relationships (10 points)**
3. **Followup Activities (5 points)**
4. **Outcome of Technology Transfer Effort (40 points)**

